

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jun HIROSE, et al.

SERIAL NO: NEW CONTINUATION APPLICATION

GAU: UNASSIGNED

FILED: HEREWITH

EXAMINER: UNASSIGNED

FOR: VACUUM PROCESSING APPARATUS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were provided in the prior application serial number 09/926,676, filed November 30, 2001, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 247640US3CONT		SERIAL NO. NEW CONT. APPLICATION	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Jun HIROSE, et al.			
				FILING DATE HEREWITH		GROUP UNASSIGNED	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,613,821 A	03-1997	MUKA ET AL.			
	AB	5,456,480 A	10/1995	TURNER ET AL.			
	AC	5,363,872 A	11-1994	LORIMER, D'ARCY H.			
	AD	5,242,538 A	09-1993	HAMRAH ET AL.			
	AE	5,120,019 A	06-1992	DAVIS, JR., JAMES C.			
	AF	4,771,805 A	09-1988	MAA, TSORNG J.			
	AG	4,721,282 A	01-1988	SHAWVER ET AL.			
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	AI	6,456,480 B1	09-2002	HIROSE ET AL.			
	AJ	6,408,786 B1	06-2002	KENNEDY ET AL.			
	AK	6,394,026 B1	05-2002	WICKER ET AL.			
	AL	6,284,006 B1	09-2001	SIEFERING ET AL.			
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	AN	6,074,953 A	06-2000	DONOHUE ET AL.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
	AO	5-160031	06/25/93	JAPAN		X	
	AP	3-107481	05/07/91	JAPAN		X	
	AQ	5-206071	08/13/93	JAPAN		X	
	AR	11-176813	07/02/99	JAPAN (w/ English Abstract)		X	
	AS	JP10-132095	05-1998	JAPAN			
	AT	JP11-037315	02-1999	JAPAN			
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
247640US3CONTSERIAL NO.
NEW CONT. APPLICATION

LIST OF REFERENCES CITED BY APPLICANT

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HEREWITHGROUP
UNASSIGNED

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AAA	6,056,823 A	05-2000	SAJOTO ET AL.			
	AAB	6,002,572 A	12-1999	HIROSE ET AL.			
	AAC	5,965,046 A	10-1999	FRANKLIN ET AL.			
	AAD	5,945,354 A	08-1999	MAUTZ, KARL EMERSON			
	AAE	5,820,723 A	10-1998	BENJAMIN ET AL.			
	AAF	5,788,799 A	08-1998	STEGER ET AL.			
	AAG	5,651,670 A	07-1997	OKASE ET AL.			
	AAH						
	AAI						
	AAJ						
	AAK						
	AAL						
	AAM						
	AAN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AAO					
	AAP					
	AAQ					
	AAR					
	AAS					
	AAT					
	AAU					
	AAV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AAW	
	AAX	
	AAZ	
		<input type="checkbox"/> Additional References sheet(s) attached

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